



12-27-04

2176

Ch/B

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Dated: 12/22/04 Signature: Joanne Ryan
(Joanne Ryan)

Docket No.: PGLD-P01-004
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Majid Anwar

Application No.: 09/835679

Confirmation No.: 6220

Filed: April 16, 2001

Art Unit: 2176

For: SYSTEMS AND METHODS FOR DIGITAL
DOCUMENT PROCESSING

Examiner: M. Nguyen

INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The identified references were previously disclosed in Information Disclosure Statements filed electronically on September 17, 2004 and November 12, 2004, respectively. Copies of these electronic submissions and their corresponding receipts of acknowledgement are attached for your convenience. According to Examiner M. Nguyen, these statements were never entered on the record or forwarded to her attention. As the references identified herein were disclosed within three months of Applicant having become aware of them, and prior to the subsequent Notice of Allowance issued in this application, Applicant submits no fee is due with this submission.

A copy of each reference on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. PGLD-P01-004.

Dated: December 22, 2004

Respectfully submitted,

By 

Edward A. Gordon

Registration No.: 54,130

ROPES & GRAY LLP

One International Place

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(617) 951-7000

(617) 951-7050 (Fax)

Attorneys/Agents For Applicant



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/835679
				Filing Date	April 16, 2001
				First Named Inventor	Majid Anwar
				Art Unit	2176
				Examiner Name	M. Nguyen
Sheet	1	of	1	Attorney Docket Number	PGLD-P01-004

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US-6,034,700	03-07-2000	Rumph et al.	
	AB	US-5,278,678	01-11-1994	Harrington, S.J.	
	AC	US-6,717,573-B1	04-06-2004	Shahoian et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			

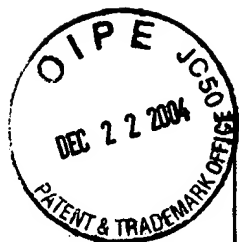
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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Application No. (if known): 09/835679

Attorney Docket No.: PGLD-P01-004

Certificate of Express Mailing Under 37 CFR 1.10

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on December 22, 2004
Date



Signature

Joanne Ryan

Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal (1 page)
Information Disclosure Statement (2 pages)
Form PTO SB/08 (1 page); copy of cited references AA-AC
Copy of IDS papers electronically filed 9/17/04 (5 pages)
Copy of IDS papers electronically filed 11/12/04 (5 pages)



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

15

Application Number

09/835679

Filing Date

April 16, 2001

First Named Inventor

Majid Anwar

Art Unit

2176

Examiner Name

M. Nguyen

Attorney Docket Number

PGLD-P01-004

ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Missing Parts/
Incomplete Application☐ Reply to Missing Parts under
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ Landscape Table on CD☐ After Allowance Communication
to TC☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
Identify below):copy of cited references AA-AC;
copy of IDS documents
electronically filed 9/17/04 &
11/12/04, respectively
Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

ROPES & GRAY LLP

Signature

Printed name

Edward A. Gordon

Date

December 22, 2004

Reg. No.

54,130

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Joanne Ryan

(Joanne Ryan)

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

**Title of
Invention****SYSTEMS AND METHODS FOR DIGITAL DOCUMENT PROCESSING**

Application Number: 09/835679 *09/835679*
Confirmation Number: 6220
First Named Applicant: Majid Anwar
Attorney Docket Number: PGLD-P01-004
Art Unit: N/A
Examiner: Not Yet Assigned
Search string: (6034700 or 5278678).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	AA	6034700	2000-03-07	Rumph et al.			
	AB	5278678	1994-01-11	Harrington, S.J.			

Signature**Examiner Name****Date**

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TRANSMITTAL

Electronic Version

Stylesheet Version v1.1.0

**Title of
Invention**

SYSTEMS AND METHODS FOR DIGITAL DOCUMENT PROCESSING

Application Number: 09/835679 *09/835679*

Date: 2001-04-16

First Named Applicant: Majid Anwar

Confirmation Number: 6220

Attorney Docket Number: PGLD-P01-004

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I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Submitted by:Agnes S. Lee
Registered Number: 46,862**Elec. Sign.**

/asl/

Documents being submitted

us-ids

Files

PGLDP01004-usidst.xml

us-ids.dtd

us-ids.xsl

Comments

IPDAS Version 3.51.0.0, Validation Version 1.2.0.0, Submission Version 1.3.4.6

**UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

Title of Invention	SYSTEMS AND METHODS FOR DIGITAL DOCUMENT PROCESSING																									
Submission Type:	Information Disclosure Statement																									
Application Number:	09/835679	*09/835679*																								
EFS ID:	68681																									
Server Response:	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Confirmation Code</th> <th>Message</th> </tr> </thead> <tbody> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>6220</td> </tr> <tr> <td>USPTOEFSNotice</td> <td>For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/</td> </tr> </tbody> </table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	6220	USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: http://www.uspto.gov/ebc/																
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First Named Applicant:	Majid Anwar																									
Attorney Docket Number:	PGLD-P01-004																									
Timestamp:	2004-09-17 10:43:33 EDT																									
From:	us																									
File Listing:	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Doc. Name</th> <th style="width: 40%;">File Name</th> <th style="width: 30%;">Size (Bytes)</th> </tr> </thead> <tbody> <tr> <td>us-ids</td> <td>PGLDP01004-usidst.xml</td> <td style="text-align: right;">1257</td> </tr> <tr> <td>us-ids</td> <td>us-ids.dtd</td> <td style="text-align: right;">7763</td> </tr> <tr> <td>us-ids</td> <td>us-ids.xsl</td> <td style="text-align: right;">12026</td> </tr> <tr> <td>package-data</td> <td>PGLDP01004-pkda.xml</td> <td style="text-align: right;">1900</td> </tr> <tr> <td>package-data</td> <td>package-data.dtd</td> <td style="text-align: right;">27025</td> </tr> <tr> <td>package-data</td> <td>us-package-data.xsl</td> <td style="text-align: right;">19263</td> </tr> <tr> <td colspan="2" style="text-align: right;">Total files size</td> <td style="text-align: right;">69234</td> </tr> </tbody> </table>		Doc. Name	File Name	Size (Bytes)	us-ids	PGLDP01004-usidst.xml	1257	us-ids	us-ids.dtd	7763	us-ids	us-ids.xsl	12026	package-data	PGLDP01004-pkda.xml	1900	package-data	package-data.dtd	27025	package-data	us-package-data.xsl	19263	Total files size		69234
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Title of Invention	SYSTEMS AND METHODS FOR DIGITAL DOCUMENT PROCESSING		
Application Number: 09/835679 *09/835679*			
Date: 2001-04-16			
First Named Applicant: Majid Anwar			
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Submitted by:		Elec. Sign.	
Edward A. Gordon Esq. Registered Number: 54,130		/eag/	

Documents being submitted us-ids	Files PGLDP01004-usidst.xml us-ids.dtd us-ids.xsl
Comments IPDAS Version 3.53.0.0, Validation Version 1.2.0.0, Submission Version 1.3.4.6	

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Art Unit: 2176
Examiner: M. Nguyen
Search string: (6717573).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	AA	6717573	2004-04-06	Shahoian et al.	B1		

Remarks

Note: Remarks are not for responding to an office action.

Applicant calls the examiner's attention to an office action issued in co-pending, co-owned

patent application 09/835458 filed April 16, 2001.

Signature

Examiner Name	Date

UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1

Stylesheet Version v1.1.1

Title of Invention

SYSTEMS AND METHODS FOR DIGITAL DOCUMENT PROCESSING

Submission Type: Information Disclosure Statement

Application Number: 09/835679

09/835679

EFS ID: 72376

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted – Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	6220
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number:1(866) 217-9197 Website: http://www.uspto.gov/ebc/

First Named Applicant: Majid Anwar

Attorney Docket Number: PGLD-P01-004

Timestamp: 2004-11-12 09:15:35 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
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us-ids	us-ids.xsl	12026
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Total files size		69286

Message Digest: 6b2de0dbce7b9400c6a4f786d8c862d56b8db1a0

Digital Certificate Holder Name: cn=Edward A. Gordon,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US